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## VARIATIONS ON GLAUBERMAN’S ZJ THEOREM

DANIEL ALLCOCK

**ABSTRACT.** We give a new proof of Glauberman’s ZJ Theorem, in a form that clarifies the choices involved and offers more choices than classical treatments. In particular, we introduce two new ZJ-type subgroups of a  $p$ -group  $S$ , that contain  $ZJ_r(S)$  and  $ZJ_o(S)$  respectively and can be strictly larger.

Glauberman’s ZJ Theorem is a basic technical tool in finite group theory [2, Theorem A]. For example, it plays a major role in the classification of simple groups having abelian or dihedral Sylow 2-subgroups. There are several versions of the theorem, depending on how one defines the Thompson subgroup. We develop the theorem in a way that clarifies the choices involved, and offers more choices than classical treatments. In this paper all groups are taken to be finite.

Writing  $S$  for a  $p$ -group, the following are new. First, Theorem 1.1 is an “axiomatic” version of the ZJ Theorem. Second, we construct ZJ-type groups  $ZJ_{\text{lex}}(S)$  and  $ZJ_{\text{olex}}(S)$ , which contain  $ZJ_r(S)$  and  $ZJ_o(S)$  respectively, and can be strictly larger. Third, we establish the “normalizers grow” property of the Thompson-Glauberman replacement process, and a consequence involving the Glauberman-Solomon group  $D^*(S)$ ; see Theorems 3.1(5) and 5.4.

### 1. Introduction

Suppose  $p$  is a prime,  $S$  is a  $p$ -group,  $\mathfrak{Ab}(S)$  is the set of abelian subgroups of  $S$ , and  $\mathcal{A} \subseteq \mathfrak{Ab}(S)$ . We set

$$J_{\mathcal{A}} := \langle A : A \in \mathcal{A} \rangle \quad I_{\mathcal{A}} := \bigcap_{A \in \mathcal{A}} A \quad (I_{\mathcal{A}} = 1 \text{ if } \mathcal{A} = \emptyset).$$

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$J_{\mathcal{A}}$  is a sort of generalized Thompson subgroup, and  $I_{\mathcal{A}}$  lies in its center. For  $P \leq S$  we define  $\mathcal{A}|_P$  as  $\{A \in \mathcal{A} : A \leq P\}$  and  $I_{\mathcal{A}|_P}$  as  $I_{\mathcal{A}|_P}$ . For other notation, and the definition of a  $p$ -stable action, see Section 2.

**Theorem 1.1** (“Axiomatic” ZJ Theorem). *Suppose  $p$  is a prime,  $S$  is a  $p$ -group and  $G$  is a group satisfying*

- (a)  $S$  is a Sylow  $p$ -subgroup of  $G$ .
- (b)  $C_G(O_p(G)) \leq O_p(G)$ .
- (c)  $G$  acts  $p$ -stably on every normal  $p$ -subgroup of  $G$ .

Then  $I_{\mathcal{A}} \trianglelefteq G$  if  $\mathcal{A} \subseteq \mathfrak{Ab}(S)$  has the following properties:

**invariance (in  $S$ , for  $G$ ):**  $\forall P \trianglelefteq S$ ,  $I_{\mathcal{A}|_P}$  is  $N_G(P)$ -invariant.

**replacement (in  $S$ ):** For every  $B \trianglelefteq S$  with class  $\leq 2$ , if there exist members of  $\mathcal{A}$  that contain  $[B, B]$  but not  $B$ , then  $B$  normalizes one of them.

Furthermore,  $I_{\mathcal{A}}$  is characteristic in  $G$  if it is characteristic in  $S$ .

Part of the point of ZJ-type theorems is to specify a subgroup of  $S$  which will be characteristic in suitable  $G$ , without referring to  $G$ . We will say that a subgroup of  $S$  has the *Glauberman property* (for  $S$ ) if it is characteristic in any group  $G$  satisfying (a)–(c). Replacing  $N_G(P)$  with  $\text{Aut}(P)$  in the definition of invariance, and quoting the theorem, lets us omit mention of  $G$ :

**Corollary 1.2.** *Suppose  $p$  is a prime,  $S$  is a  $p$ -group, and  $\mathcal{A} \subseteq \mathfrak{Ab}(S)$  satisfies **replacement** (in  $S$ ) and also*

**full invariance (in  $S$ ):**  $\forall P \trianglelefteq S$ ,  $I_{\mathcal{A}|_P}$  is  $\text{Aut}(P)$ -invariant.

Then  $I_{\mathcal{A}}$  has the Glauberman property. □

These results allow  $p = 2$ , but in this case no  $\mathcal{A}$  satisfying the conditions is known. Also, every  $\mathcal{A}$  we consider has the following property, much stronger even than full invariance:

**completeness (in  $S$ ):**  $\mathcal{A}$  contains every subgroup of  $S$  that is isomorphic to a member of  $\mathcal{A}$ .

*Examples 1.3.* The following subsets of  $\mathfrak{Ab}(S)$  are obviously complete in  $S$ . The first three are classical and the rest are new. We will abbreviate  $I_{\mathcal{A}\dots(S)}$  and  $J_{\mathcal{A}\dots(S)}$  to  $I\dots(S)$  and  $J\dots(S)$ .

$$\begin{aligned} \mathcal{A}_o(S) &= \{A \in \mathfrak{Ab}(S) : |A| \geq |A'| \text{ for all } A' \in \mathfrak{Ab}(S)\} \\ \mathcal{A}_r(S) &= \{A \in \mathfrak{Ab}(S) : \text{rank}(A) = \text{rank}(S)\} \\ \mathcal{A}_e(S) &= \{A \in \mathcal{A}_r(S) : A \text{ is elementary abelian}\} \\ \mathcal{A}_{\text{lex}}(S) &= \{A \in \mathfrak{Ab}(S) : A \geq_{\text{lex}} A' \text{ for all } A' \in \mathfrak{Ab}(S)\} \\ \mathcal{A}_{\text{olex}}(S) &= \{A \in \mathcal{A}_o(S) : A \geq_{\text{lex}} A' \text{ for all } A' \in \mathcal{A}_o(S)\} \\ \mathcal{A}_{O,E,\zeta}(S) &= \{A \in \mathfrak{Ab}(S) : |A| = p^O, \text{exponent}(A) \leq p^E \text{ and } A \geq_{\text{lex}} \zeta\} \end{aligned}$$

In the last case,  $O, E \in \mathbb{Z}$  and  $\zeta = (\zeta_1, \zeta_2, \dots)$  is a sequence of integers. For sequences  $\zeta = (\zeta_1, \zeta_2, \dots)$  and  $\zeta' = (\zeta'_1, \zeta'_2, \dots)$ ,  $\zeta \geq_{\text{lex}} \zeta'$  refers to the usual lexicographic order. When an abelian  $p$ -group  $A$  appears on one side of  $\geq_{\text{lex}}$ , the comparison refers to the sequence

$$(\omega_1(A), \omega_2(A), \dots) := (|\Omega_1(A)|, |\Omega_2(A)|, \dots).$$

If  $A, A'$  are abelian groups of the same order, then we think of  $A >_{\text{lex}} A'$  as “ $A$  is closer to being elementary abelian than  $A'$  is.”

**Theorem 1.4.** *Suppose  $p$  is an odd prime,  $S$  is a  $p$ -group, and  $D \trianglelefteq S$ . Then  $\mathcal{A}_o(D), \mathcal{A}_r(D), \mathcal{A}_e(D), \mathcal{A}_{\text{lex}}(D), \mathcal{A}_{\text{olex}}(D)$  and  $\mathcal{A}_{O,E,\zeta}(D)$  (for any fixed  $O, E, \zeta$ ) have the replacement property in  $S$ .*

**Corollary 1.5.** *Every one of  $I_o(S), I_r(S), I_e(S), I_{\text{lex}}(S), I_{\text{olex}}(S)$  and  $I_{O,E,\zeta}(S)$  has the Glauberman property for  $S$ . □*

Theorem 1.4 is a wrapper around Glauberman’s replacement theorem, extended to cover the last three cases (Theorem 3.1). Corollary 1.5 contains the classical forms of the ZJ Theorem. Namely:  $ZJ_o(S)$  and  $\Omega ZJ_e(S)$  have the Glauberman property. This follows from

$$I_o(S) = ZJ_o(S) \quad \text{and} \quad I_e(S) = \Omega ZJ_e(S).$$

The first equality uses  $I_{\mathcal{A}} = Z(J_{\mathcal{A}}) = C_S(J_{\mathcal{A}})$  when every member of  $\mathcal{A}$  is maximal in  $\mathfrak{Ab}(S)$  under inclusion (Lemma 2.1). The second is similar. From our perspective, the Glauberman property for  $ZJ_o(S)$  and  $\Omega ZJ_e(S)$  derives from their coincidence with  $I_o(S)$  and  $I_e(S)$ , and has nothing to do with  $J_o(S)$  and  $J_e(S)$ .  $\mathcal{A}_r(S)$  gives nothing new: Theorem 5.3 shows

$$I_r(S) = I_e(S) = \Omega ZJ_r(S) = \Omega ZJ_e(S).$$

We chose the new families  $\mathcal{A}_{\text{lex}}(S)$  and  $\mathcal{A}_{\text{olex}}(S)$  to be “small”, so that  $J_{\dots}(S)$  would also be “small” and  $I_{\dots}(S)$  would be “large”. In particular,

$$\begin{aligned} I_{\text{lex}}(S) &= ZJ_{\text{lex}}(S) = C_S(J_{\text{lex}}(S)) \geq ZJ_r(S) \\ I_{\text{olex}}(S) &= ZJ_{\text{olex}}(S) = C_S(J_{\text{olex}}(S)) \geq ZJ_o(S). \end{aligned}$$

The equalities use Lemma 2.1. The containments follow from  $\mathcal{A}_{\text{lex}}(S) \subseteq \mathcal{A}_r(S)$  and  $\mathcal{A}_{\text{olex}}(S) \subseteq \mathcal{A}_o(S)$ , and can easily be strict (Examples 5.1 and 5.2). The containment  $ZJ_{\text{lex}}(S) \geq ZJ_r(S)$  is interesting because it is not known whether  $ZJ_r(S)$  has the Glauberman property. Any two members of  $\mathcal{A}_{\text{lex}}(S)$  resp.  $\mathcal{A}_{\text{olex}}(S)$  are isomorphic to each other.

There is no reason to expect  $\mathcal{A}_{O,E,\zeta}(S)$  to be interesting; we include it mainly to give a sense of what is possible using replacement.

Corollary 1.5 uses the  $D = S$  case of Theorem 1.4. Since one can take  $D$  to be any normal subgroup there, this suggests trying to apply Theorem 1.1 to some suitable  $\mathcal{A} \subseteq \mathfrak{Ab}(D)$  with  $D \trianglelefteq S$ . In this way we can recover some recent results of Kızmaz. Recall that  $D \trianglelefteq S$  is called *strongly closed* (in  $S$ , with respect to  $G \geq S$ ), if the only elements of  $S$  which are  $G$ -conjugate into  $D$  are the elements of  $D$ .

If this holds and  $\mathcal{A} \subseteq \mathfrak{Ab}(D)$  is complete (in  $D$ ), then it is not hard to see that  $\mathcal{A}$  satisfies invariance (in  $S$ , for  $G$ ). In fact strong closure is stronger than necessary for this argument.

Therefore Theorem 1.1 implies the following ‘‘axiomatic’’ version of [6, Theorem B]. Corollary 1.7 below takes  $D = \Omega_i(S)$ , and is our analogue of [6, Remark 1.4].

**Theorem 1.6.** *Suppose  $p$  is a prime,  $S$  is a  $p$ -group,  $G$  is a group satisfying (a)–(c) of Theorem 1.1, and  $D \trianglelefteq S$  is strongly closed in  $S$  with respect to  $G$ . Then  $I_{\mathcal{A}} \trianglelefteq G$  for any  $\mathcal{A} \subseteq \mathfrak{Ab}(D)$  which is complete (in  $D$ ) and satisfies replacement (in  $S$ ).* □

**Corollary 1.7.** *Suppose  $p$  is an odd prime,  $S$  is a  $p$ -group,  $i \geq 1$ , and  $\Omega_i(S)$  has exponent  $\leq p^i$  (for example, suppose  $S$  has class  $< p$ ). Then all of  $ZJ_o\Omega_i(S)$ ,  $\Omega ZJ_e\Omega_i(S)$ ,  $ZJ_{\text{lex}}\Omega_i(S)$ ,  $ZJ_{\text{ollex}}\Omega_i(S)$  and  $I_{O,E,\zeta}(\Omega_i(S))$  (for any  $O, E, \zeta$ ) have the Glauberman property for  $S$ .* □

### 2. Background and Notation

We mostly follow the conventions of [4]. Let  $G$  be a group. If  $w, x \in G$ , then  $w^x$  means  $x^{-1}wx$  and  $[w, x]$  means  $w^{-1}x^{-1}wx$ . Brackets nest to the left, so  $[x_1, \dots, x_n]$  means  $[[x_1, \dots, x_{n-1}], x_n]$  when  $n > 2$ .

Suppose  $p$  is a prime. If  $S$  is a  $p$ -group, then  $\Omega_i(S)$  means the subgroup generated by all elements of order  $\leq p^i$ . When  $i = 1$  we often write just  $\Omega(S)$ . The rank of an abelian group means the size of the smallest set of generators. The rank of a nonabelian group means the maximum of the ranks of its abelian subgroups. We will only use this notion for  $p$ -groups. We sometimes suppress parentheses, eg writing  $\Omega ZJ_e(S)$  for  $\Omega(Z(J_e(S)))$ .

The largest normal  $p$ -subgroup of  $G$  is denoted  $O_p(G)$ . Now suppose  $G$  acts on a  $p$ -group  $P$ . We define  $O_p(G \curvearrowright P) \trianglelefteq G$  as the preimage of  $O_p(G/C_G(P))$  under the natural map  $G \rightarrow G/C_G(P)$ . This notation is nonstandard but natural; it can be pronounced ‘‘ $O_p$  of  $G$ ’s action on  $P$ ’’. We say that  $x \in G$  acts quadratically if  $[P, x, x] = 1$ . The action of  $G$  on  $P$  is called  $p$ -stable if every element of  $G$  that acts quadratically lies in  $O_p(G \curvearrowright P)$ . There is a simple ‘‘global’’ condition that guarantees this: that no subquotient of  $G$  is isomorphic to  $\text{SL}_2(p)$ . A proof of this can be extracted from that of [2, Lemma 6.3]. One main case of interest is when  $p$  is odd and  $G$  has abelian or dihedral Sylow 2-subgroups. Having quaternionic Sylow 2-subgroups,  $\text{SL}_2(p)$  cannot arise as a subquotient.

We use the following elementary lemma several times.

**Lemma 2.1.** *Suppose  $S$  is a  $p$ -group,  $\mathcal{A} \subseteq \mathfrak{Ab}(S)$ , and every member of  $\mathcal{A}$  is maximal in  $\mathfrak{Ab}(S)$  under inclusion. Then  $I_{\mathcal{A}} = Z(J_{\mathcal{A}}) = C_S(J_{\mathcal{A}})$ .*

*Proof.* The inclusions  $I_{\mathcal{A}} \leq Z(J_{\mathcal{A}}) \leq C_S(J_{\mathcal{A}})$  are obvious. Now suppose  $x \in C_S(J_{\mathcal{A}})$ . For any  $A \in \mathcal{A}$ ,  $\langle A, x \rangle$  is abelian, so the maximality of  $A$  forces  $x \in A$ . Letting  $A$  vary over  $\mathcal{A}$  gives  $x \in I_{\mathcal{A}}$ . □

### 3. Replacement

**Theorem 3.1 (Replacement).** *Suppose  $p$  is a prime,  $S$  is a  $p$ -group and  $B \trianglelefteq S$ . If  $p = 2$  then assume  $B$  is abelian. Suppose  $A \leq S$  is abelian and contains  $[B, B]$ .*

Then either  $B$  normalizes  $A$ , or there exists  $b \in N_B(N_S(A)) - N_B(A)$ . For any such  $b$ ,  $A^* := (A \cap A^b)[A, b] \leq AA^b$  enjoys the properties

- (1)  $|A^*| = |A|$ .
- (2) Like  $A$ ,  $A^*$  is abelian and contains  $[B, B]$ .
- (3)  $A^* \cap B$  strictly contains  $A \cap B$  and is a proper subgroup of  $B$ .
- (4)  $A^*$  and  $A$  normalize each other.
- (5)  $N_S(A^*)$  contains  $b$  and strictly contains  $N_S(A)$ .
- (6) If  $p > 2$ , then  $\omega_i(A^*) \geq \omega_i(A)$  for all  $i \geq 1$ . In particular,

$$\text{exponent}(A^*) \leq \text{exponent}(A) \quad \text{rank}(A^*) \geq \text{rank}(A) \quad A^* \geq_{\text{lex}} A.$$

Glauberman’s replacement theorem [2, Theorem 4.1] adds the hypothesis that  $\text{class}(B) \leq 2$ , and establishes (1)–(3). This is enough to prove that  $ZJ_o(S)$  has the Glauberman property. Isaacs simplified the proof by replacing some of the counting arguments with structural ones [5]. He took  $B$  abelian, as in Thompson’s replacement theorem, but with some work his arguments can be adapted. Course notes of Gagola [1] include a proof along these lines, citing long-ago unpublished work by (separately) Isaacs, Passman and Goldschmidt. This includes the exponent inequality in (6), and removed Glauberman’s hypothesis on  $\text{class}(B)$ . Kızmaz [6] independently adapted Isaacs’ arguments from [5] and proved his own generalization of Glauberman’s replacement theorem, namely [6, Theorem A]. This includes (6), although he only stated the  $i = 1$  case and the rank inequality. He also clarified the overall argument by isolating the commutator calculations in [6, Lemma 2.1], from which our Lemma 3.2 grew.

To my knowledge, (5) is new. It is curious because it says that  $N_S(A)$  is a measure of how well-positioned  $A$  is with respect to  $B$ , yet  $N_S(A)$  is independent of  $B$ . An interesting consequence is that if  $A \in \mathfrak{Ab}(G)$  has largest possible normalizer, among all abelian subgroups of  $S$  with order  $|A|$ , then  $A$  automatically centralizes the ZJ-type group  $D^*(S)$  introduced by Glauberman and Solomon [3]. We postpone the details until Theorem 5.4, to avoid breaking the flow of ideas.

**Lemma 3.2.** *Suppose a group  $A$  acts on a group  $B$  and centralizes  $[B, B]$ . Then the commutator subgroup of  $[B, A]$  is central in  $B$ .*

*Furthermore, if  $A$  is abelian and  $b \in B$  satisfies  $[b, A, A, A] = 1$ , then the commutator subgroup of  $[b, A]$  is an elementary abelian 2-group.*

*Proof.* (We do not use our blanket hypothesis that groups are finite, so  $A$  and  $B$  could be infinite.) Because  $A$  centralizes  $[B, B]$ , so does  $[B, A]$ . Two special cases of this are  $[B, [B, A], [B, A]] = 1 = [[B, A], B, [B, A]]$ . Now the three subgroups lemma gives  $[[B, A], [B, A], B] = 1$ , which is the first part of the lemma.

The commutator subgroup of  $[b, A]$  is abelian because it is central. It is generated by the  $[[b, x], [b, y]]$  with  $x, y$  varying over  $A$ . So it suffices to show that each has order  $\leq 2$ . We fix  $x, y$  and abbreviate:

$$\begin{aligned} b_x &= [b, x] & b_y &= [b, y] \\ b_{xx} &= [b, x, x] & b_{xy} &= [b, x, y] & b_{yx} &= [b, y, x] & b_{yy} &= [b, y, y]. \end{aligned}$$

By hypothesis,  $x$  and  $y$  centralize the last four of these.

We will use the following identities, for any  $u, v, w$  in any group:

$$u^v = u[u, v] \quad [u, vw] = [u, w][u, v]^w \quad [uv, w] = [u, w]^v[v, w].$$

In particular,  $b^y = bb_y$  and  $b_x^y = b_x b_{xy}$ . Since  $A$  centralizes  $[B, B]$ ,

$$[b_{xy}, b] = [b_{xy}^y, b^y] = [b_{xy}, bb_y] = [b_{xy}, b_y][b_{xy}, b]^{b_y \leftarrow \text{discard}}.$$

We may discard the indicated conjugation because  $[B, A]$  centralizes  $[B, B]$ . Canceling the  $[b_{xy}, b]$  terms leaves  $1 = [b_{xy}, b_y]$ . Similarly,

$$\begin{aligned} [b_x, b] &= [b_x^y, b^y] = [b_x b_{xy}, bb_y] = [b_x b_{xy}, b_y][b_x b_{xy}, b]^{b_y \leftarrow \text{discard}} \\ &= [b_x, b_y]^{b_{xy} \leftarrow \text{discard}} [b_{xy}, b_y] \cdot [b_x, b]^{b_{xy} \leftarrow \text{discard}} [b_{xy}, b]. \end{aligned}$$

We discard conjugations as before, and we just saw that the second commutator is trivial. The first commutator is central, so we may cancel the  $[b_x, b]$  terms. This leaves  $(\star) 1 = [b_x, b_y][b_{xy}, b]$ .

Next, we have  $[b, xy] = [b, y][b, x]^y = b_y b_x^y = b_y b_x b_{xy}$ . Exchanging  $x$  and  $y$  doesn't change the left side, so  $b_y b_x b_{xy} = b_x b_y b_{yx}$ . Moving two terms to the right yields  $b_{xy} = [b_x, b_y] b_{yx}$ . Bracketing by  $b$ , and using the centrality of  $[b_x, b_y]$ , gives  $[b_{xy}, b] = [b_{yx}, b]$ . By  $(\star)$  and its analogue with  $x$  and  $y$  swapped, this implies  $[b_x, b_y] = [b_y, b_x]$ . That is,  $[b_x, b_y]^2 = 1$ .  $\square$

*Proof of Theorem 3.1.* Suppose  $B$  does not normalize  $A$ . Since  $N_B(A)$  is proper in  $B$ , it is proper in its own normalizer  $N_B(N_B(A))$ . Because  $N_S(A)$  normalizes  $A$  and  $B$ , it also normalizes  $N_B(A)$  and  $N_B(N_B(A))$ , hence acts on  $N_B(N_B(A))/N_B(A) \neq 1$ . So some  $b \in N_B(N_B(A)) - N_B(A)$  is  $N_S(A)$ -invariant modulo  $N_B(A)$ , ie  $[b, N_S(A)] \leq N_B(A)$ . This inclusion also says that  $b$  normalizes  $N_S(A)$ . So  $b \in N_B(N_S(A)) - N_B(A)$ , as claimed.

Now set  $N := N_S(A)$  and suppose  $b \in N_B(N) - N_B(A)$  is arbitrary. From  $[B, B] \leq A$  we have  $A \cap B \trianglelefteq B$ , hence  $A \cap B \leq A \cap A^b$ .

From  $A \trianglelefteq N \leq \langle N, b \rangle$  follows  $A^b \trianglelefteq N$ . So  $A, A^b$  normalize each other. Setting  $H = AA^b \trianglelefteq N$ , it follows that  $[H, H] \leq A \cap A^b \leq Z(H)$ . In particular,  $H$  has class  $\leq 2$ . The identity  $(aa'^{-1})(a')^b = a[a', b]$ , for any  $a, a' \in A$ , shows that  $H$  is also equal to  $A[A, b]$ .

Using bars for images in  $H/(A \cap A^b)$ , obviously we have  $\bar{A} \cdot \overline{[A, b]} = \bar{H}$ . On the other hand,  $[A, b]$  lies in  $H \cap B$ , and  $\overline{H \cap B}$  meets  $\bar{A}$  trivially. (Any element of  $H \cap B$ , that differs from an element of  $A$  by an element of  $A \cap A^b$ , lies in  $A$ , hence in  $B \cap A \leq A \cap A^b$ .) So  $\overline{[A, b]}$  meets every coset of  $\bar{A}$  in  $\bar{H}$ , yet lies in  $\overline{H \cap B}$ , which contains at most one point of each coset. Therefore  $\overline{[A, b]}$  and  $\overline{H \cap B}$  coincide and form a complement to  $\bar{A}$  in  $\bar{H}$ . So

$$A^* = (A \cap A^b)[A, b] = (A \cap A^b)(H \cap B)$$

is a complement to  $A$  in  $H$ , modulo  $A \cap A^b$ . Since  $A^b$  is another such complement, we have  $A^*/(A \cap A^b) \cong A^b/(A \cap A^b)$  and therefore  $|A^*| = |A|$ , proving (1).

(2) First,  $[B, B] \leq A \cap B \leq A \cap A^b \leq A^*$ . Now we prove  $A^*$  abelian. Because  $A \cap A^b$  is central in  $H$  it is enough to prove  $[A, b]$  abelian. If  $p = 2$  this follows from the hypothesis that  $B$  is abelian. So take

$p$  odd. We may apply Lemma 3.2 because  $[B, B] \leq A$  and  $[b, A, A, A] \leq [H, A, A] \leq [Z(H), A] = 1$ . The lemma shows that the commutator subgroup of  $[A, b]$  is a 2-group, hence trivial.

(3) We already saw  $A \cap B \leq A \cap A^b \leq A^*$ . The strict containment  $A \cap B < A^* \cap B$  comes from the fact that  $b$  does not normalize  $A$ . Namely,  $A$  omits  $b^{-1}ab$  for some  $a \in A$ , so it also omits  $a^{-1}b^{-1}ab = [a, b] \in A^* \cap B$ . And  $A^* \cap B$  is strictly smaller than  $B$  because it lies in  $N$  and therefore omits  $b$ .

(4) Both  $A, A^*$  contain  $A \cap A^b$ , hence  $[H, H]$ , so are normal in  $H$ .

(5)  $N$  normalizes  $A^* = (A \cap A^b)(H \cap B)$  because it normalizes all four terms on the right. And  $b$  normalizes  $A^* = (A \cap A^b)[A, b]$  because

$$[A \cap A^b, b] \leq [A, b] \quad \text{and} \quad [[A, b], b] \leq [B, B] \leq A \cap A^b.$$

Because  $b \notin N$  it follows that  $N_S(A^*)$  is strictly larger than  $N$ .

(6) We fix  $i$  and write  $A_i$  for  $\Omega_i(A)$ .  $H$  has class  $\leq 2$ , so the identities

$$(xy)^e = x^e y^e [x, y]^{e(e-1)/2} \quad \text{and} \quad [x, y]^e = [x, y^e]$$

hold for all  $x, y \in H$ . Together with the oddness of  $p$ , they show that  $\Omega_i(H)$  has exponent  $\leq p^i$ . Therefore its subgroup

$$A_i^* := (A_i \cap A_i^b)[A_i, b] \leq A_i A_i^b \leq \Omega_i(H)$$

does too. Now we reason as follows:

$$\omega_i(A^*) \geq \omega_i(A_i^*) = |A_i^*| \geq |A_i| = \omega_i(A).$$

The first step uses the obvious containment  $A^* \geq A_i^*$ , and the equalities use that  $A_i^*$  and  $A_i$  have exponent  $\leq p^i$ . For the remaining inequality, observe that quotienting  $A_i A_i^b = A_i A_i^b$  by  $A_i$  gives  $A_i^*/(A_i^* \cap A_i) \cong A_i^b/(A_i^b \cap A_i)$ . Because  $A_i^*$  contains  $A_i^b \cap A_i$  this implies  $|A_i^*| \geq |A_i|$ .

The rank and lex inequalities follow. By  $|A^*| = |A|$ , the exponent inequality does too. □

*Proof of Theorem 1.4.* Write  $\mathcal{A}$  for any one of  $\mathcal{A}_o(D), \dots, \mathcal{A}_{O,E,\zeta}(D)$ . Supposing  $B \trianglelefteq S$ , and that some  $U \in \mathcal{A}$  contains  $[B, B]$  but not  $B$ , we will show that  $B$  normalizes some  $A \in \mathcal{A}$  that also contains  $[B, B]$  but not  $B$ . Among all members of  $\mathcal{A}$  that contain  $[B, B]$  but not  $B$ , and lie in  $\langle U^S \rangle$ , choose  $A$  with  $|A \cap B|$  maximal. Supposing that  $B$  does not normalize  $A$ , we will derive a contradiction.

Let  $b$  and  $A^*$  be as in Theorem 3.1. In particular,  $A^*$  is abelian and lies in  $AA^b \leq \langle U^S \rangle \leq D$ . So  $A^* \in \mathfrak{Ab}(D)$ .  $A^*$  contains  $[B, B]$  but not  $B$  by (2) and (3). (3) also implies  $|A^* \cap B| > |A \cap B|$ , so the maximality in our choice of  $A$  forces  $A^* \notin \mathcal{A}$ .

This is a contradiction because  $A^* \in \mathcal{A}$  by other parts of Theorem 3.1. For  $\mathcal{A}_o$  we use  $|A^*| = |A|$ . For  $\mathcal{A}_r$  we use  $\text{rank}(A^*) \geq \text{rank}(A)$ . For  $\mathcal{A}_e$  we use both of these properties. For  $\mathcal{A}_{\text{lex}}$  we use  $A^* \geq_{\text{lex}} A$ . For  $\mathcal{A}_{\text{olex}}$  we use this and  $|A^*| = |A|$ . For  $\mathcal{A}_{O,E,\zeta}$  we use  $|A^*| = |A|$ ,  $\text{exponent}(A^*) \leq \text{exponent}(A)$  and  $A^* \geq_{\text{lex}} A$ . □

In fact we have proven that  $\mathcal{A}$  satisfies a strengthening of the replacement axiom, got by removing “with class  $\leq 2$ ” from the statement of the axiom. We stated the axiom the way we did because only the class  $\leq 2$  case is needed to prove Theorem 1.1.

#### 4. Proof of the Axiomatic ZJ Theorem

*Proof of Theorem 1.1.* We write  $I$  for  $I_A$ . We prove  $I \trianglelefteq G$  by induction starting with  $1 \trianglelefteq G$ , by establishing the following inductive step:

$$\text{if } \exists W \trianglelefteq G \text{ with } W < I, \text{ then } \exists B \trianglelefteq G \text{ with } W < B \leq I.$$

Fix such a  $W$ . Since  $S$  preserves  $I$ , it acts on  $I/W$ . We define  $X \leq I$  as the preimage of the fixed-point subgroup. So  $X$  is normal in  $S$ , and is strictly larger than  $W$  because  $I/W \neq 1$ . To complete the proof we will show that  $B := \langle X^G \rangle \trianglelefteq G$  lies in  $I$ . Supposing to the contrary, we will derive a contradiction.

*Step 1:*  $B \leq O_p(G)$ . Being a subgroup of  $I$ ,  $X$  is abelian. Together with  $X \trianglelefteq S$  this gives  $[O_p(G), X, X] \leq [X, X] = 1$ . Because  $G$  acts  $p$ -stably on  $O_p(G)$ ,  $X$  lies in  $O_p(G \curvearrowright O_p(G))$ . This equals  $O_p(G)$  because  $C_G(O_p(G))$  is a  $p$ -group by hypothesis. Since  $X$  lies in  $O_p(G)$ , so does  $B = \langle X^G \rangle$ .

*Step 2:*  $[B, B] \leq W \leq Z(B)$ . By the definition of  $X$ ,  $S$  acts trivially on  $X/W$ . In particular  $O_p(G)$  does. Conjugation shows that  $O_p(G)$  acts trivially (mod  $W$ ) on every  $G$ -conjugate of  $X$ , hence trivially on  $B/W$ . That is,  $[B, O_p(G)] \leq W$ . By step 1 this implies  $[B, B] \leq W$ . And  $W \leq Z(B)$  because  $B$  is generated by abelian groups that contain  $W$ .

*Step 3:* Set  $H = O_p(G \curvearrowright B)$  and  $P = H \cap S$ . Then some  $A \in \mathcal{A}|_P$  fails to contain  $B$ . Because we are supposing  $B \not\leq I$ , some  $A \in \mathcal{A}$  fails to contain  $B$ . It does contain  $[B, B]$ , because step 2 showed  $[B, B]$  lies in  $W$ , which lies in  $I$ , hence  $A$ . Step 2 also showed that  $B \trianglelefteq S$  has class  $\leq 2$ . By the replacement property, some member of  $\mathcal{A}$  contains  $[B, B]$  but not  $B$ , and is also normalized by  $B$ . We lose nothing by using it in place of  $A$ , because it has all the properties of  $A$  established so far. That is, we may suppose  $B$  normalizes  $A$ . By  $[B, A, A] \leq [A, A] = 1$  and the  $p$ -stability of  $G$ 's action on  $B$ , we have  $A \leq H$ . Together with  $A \leq S$  this gives  $A \leq P$ , hence  $A \in \mathcal{A}|_P$ .

*Step 4:*  $B \leq I_{\mathcal{A}|_P}$ . By the Frattini argument and the definition of  $H$ ,

$$G = HN_G(P) = C_G(B)PN_G(P) \leq C_G(X)N_G(P).$$

So the  $G$ -conjugates of  $X$  are the same as the  $N_G(P)$ -conjugates. It therefore suffices to show that every  $N_G(P)$ -conjugate of  $X$  lies in  $I_{\mathcal{A}|_P}$ . This follows from

$$\begin{array}{ccc} X \leq I = \bigcap_{A' \in \mathcal{A}} A' & \leq & \bigcap_{A' \in \mathcal{A}|_P} A' = I_{\mathcal{A}|_P} \trianglelefteq N_G(P). \\ & \uparrow & \uparrow \\ & \text{by } \mathcal{A} \supseteq \mathcal{A}|_P \neq \emptyset & \text{by invariance} \end{array}$$

*The contradiction.* By  $B \leq I_{\mathcal{A}|_P}$ , every member of  $\mathcal{A}|_P$  contains  $B$ . But in step 3 we found one which does not.

The final claim follows from the Frattini argument:  $\text{Aut } G$  is generated by inner automorphisms and automorphisms that preserve  $S$ .  $\square$

Our method of ‘‘growing’’ the normal subgroup from  $W$  to  $B$  derives from Stellmacher’s construction [7, Theorem 9.4.4][8] of a different subgroup of  $S$  that also has the Glauberman property.

5. Etc

Here we collect some results and examples we mentioned in passing. First, we claimed in the introduction that our ZJ-type groups  $ZJ_{\text{lex}}$  and  $ZJ_{\text{olex}}$  can be strictly larger than  $ZJ_r$  and  $ZJ_o$ , respectively.

*Example 5.1* ( $ZJ_{\text{lex}}$  can be larger than  $ZJ_r$ ). Let  $p$  be any odd prime. The group

$$S = \langle x, y, u \mid 1 = [x, y] = x^{p^2} = y^p = u^p, x^u = xy, y^u = yx^p \rangle$$

is a semidirect product  $(\mathbb{Z}/p^2 \times \mathbb{Z}/p) \rtimes \mathbb{Z}/p$ . (If  $p = 2$  then  $S$  collapses to  $D_8$ .) For any  $a$  in  $A := \langle x, y \rangle$  but outside  $\langle x^p \rangle$ ,  $C_S(a) = A$ . It follows that  $Z(S)$  can be no larger than  $\langle x^p \rangle$ . Therefore  $A$  is the unique abelian subgroup of  $S$  with order  $p^3$ , because its intersection with any other such subgroup would be central in  $S$  and have order  $p^2$ . In particular,  $\text{rank } S = 2$ ,  $\mathcal{A}_{\text{lex}}(S) = \{A\}$  and  $ZJ_{\text{lex}}(S) = J_{\text{lex}}(S) = A$ . But  $\mathcal{A}_r(S)$  also contains  $\langle x^p, u \rangle \cong (\mathbb{Z}/p)^2$ , so  $J_r(S) = S$  and  $ZJ_r(S) = \langle x^p \rangle$ .

*Example 5.2* ( $ZJ_{\text{olex}}$  can be larger than  $ZJ_o$ ). Let  $p$  be any prime, and consider the ‘‘Heisenberg group’’

$$S = \langle a, b, c \mid c = [a, b], 1 = [c, a] = [c, b] = a^{p^2} = b^{p^2} = c^{p^2} \rangle.$$

$\mathcal{A}_o(S)$  consists of the preimages of the  $p^2 + p + 1$  order  $p^2$  subgroups of  $S/\langle c \rangle \cong (\mathbb{Z}/p^2)^2$ . So  $J_o(S) = S$  and  $ZJ_o(S) = \langle c \rangle$ . One member of  $\mathcal{A}_o(S)$  is isomorphic to  $(\mathbb{Z}/p)^2 \times \mathbb{Z}/p^2$ , namely  $A := \langle a^p, b^p, c \rangle$ . The rest are isomorphic to  $(\mathbb{Z}/p^2)^2$ , except if  $p = 2$ , when there are also some isomorphic to  $\mathbb{Z}/2 \times \mathbb{Z}/8$ . So  $A$  is the unique lex-maximal element of  $\mathcal{A}_o(S)$ , and  $\mathcal{A}_{\text{olex}}(S) = \{A\}$  and  $J_{\text{olex}}(S) = ZJ_{\text{olex}}(S) = A$ .

In the introduction we mentioned  $\Omega ZJ_r = \Omega ZJ_e$ . This is part of:

**Theorem 5.3.** *Suppose  $p$  is a prime and  $S$  is  $p$ -group. Then*

$$I_e(S) = I_r(S) = \Omega ZJ_r(S) = \Omega ZJ_e(S) = \Omega C_S(J_r(S)) = \Omega C_S(J_e(S)).$$

*Proof.* First,  $I_r(S) \leq I_e(S)$  because  $\mathcal{A}_e(S) \subseteq \mathcal{A}_r(S)$ . And  $I_e(S) \leq I_r(S)$  because every member of  $\mathcal{A}_r(S)$  contains a member of  $\mathcal{A}_e(S)$ , hence  $I_e(S)$ . We have proven the first equality. For the others it is enough to establish the inclusions:

$$\begin{array}{ccccc} I_e(S) & \xlongequal{\quad} & I_r(S) & \hookrightarrow & \Omega ZJ_r(S) & \xrightarrow{\text{obvious}} & \Omega C_S(J_r(S)) \\ & & \downarrow \text{obvious} & & & & \downarrow \text{by } J_e(S) \leq J_r(S) \\ \Omega ZJ_e(S) & \xleftarrow{\quad} & & \xrightarrow{\text{obvious}} & & \Omega C_S(J_e(S)) & \hookrightarrow I_e(S). \end{array}$$

The unlabeled inclusion in the top row is obvious, except for the fact that  $I_r(S)$  has exponent  $\leq p$ , which holds by  $I_r(S) = I_e(S)$ . The unlabeled inclusion in the bottom row is standard, with proof similar to that of Lemma 2.1. □

Just before Lemma 3.2, we mentioned that ‘‘normalizers grow’’ during the Thompson-Glauberman replacement process, and that this forces abelian subgroups of  $S$  with ‘‘large’’ normalizers to centralize  $D^*(S)$ . Here  $D^*(S)$  is the characteristic subgroup introduced by Glauberman and Solomon [3], who gave a lovely proof that it has the Glauberman property. Following Bender,  $D^*(S)$  may be defined as

the (unique) largest normal subgroup of  $S$  with the property that it centralizes every abelian subgroup of  $S$  that it normalizes. (It is easy to see that this exists. And considering how it acts, on abelian normal subgroups of itself, leads to a proof that  $D^*(S)$  is abelian.)

**Theorem 5.4.** *Suppose  $p$  is a prime,  $S$  is a  $p$ -group, and  $A \in \mathfrak{Ab}(S)$ . Also suppose  $N_S(A)$  is maximal under inclusion, among all groups  $N_S(A^*)$  where  $A^* \in \mathfrak{Ab}(S)$  has the same order as  $A$ . Then  $A$  centralizes  $D^*(S)$ .*

*Proof.* We apply our replacement theorem with  $B$  equal to the abelian group  $D^*(S) \trianglelefteq S$ . Arguing as for Theorem 1.4 shows that  $D^*(S)$  normalizes  $A$ . So, by (Bender's) definition,  $D^*(S)$  centralizes  $A$ .

(If  $p > 2$  then this argument gives a slightly stronger result, with  $A^*$  varying over fewer elements of  $\mathfrak{Ab}(S)$ , namely those that satisfy  $|A^*| = |A|$  and also  $\omega_i(A^*) \geq \omega_i(A)$  for all  $i$ .)  $\square$

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### Daniel Allcock

Department of Mathematics, University of Texas at Austin, RLM 8.100, 2515 Speedway Stop C1200, Austin, Texas, USA 78712-1202

Email: [allcock@math.utexas.edu](mailto:allcock@math.utexas.edu)